

Docket No.: SON-2599

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Tetsu Watanabe et al.

Application No.: 10/501,159

Filing Date: July 13, 2004

For: OPTICAL HEAD APPARATUS AND OPTICAL
RECORDING/REPRODUCING APPARATUS

Group Art Unit: 2641

Confirmation No.: 2627

REQUEST FOR CORRECTED FILING RECEIPT

Fax: 571-273-3201 (5 pages, including cover)
Attention: PCT Section, Customer Service

Commissioner for Patents
Washington, D.C. 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following, which is incorrectly entered. We are also enclosing a copy of the executed declaration showing the correct data.

Error:

Title

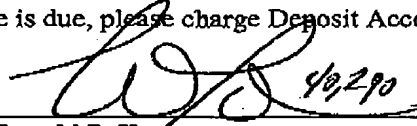
Correct data to:

OPTICAL HEAD APPARATUS AND OPTICAL
RECORDING/REPRODUCING APPARATUS

3. The correction is due to an error by the USPTO. If any fee is due, please charge Deposit Account No. 18-0013.

Dated: September 26, 2008

Customer No.: 23353
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1233 20th Street, N.W., Suite 501
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202-955-3750



Ronald P. Kananan
Reg. No. 24,104
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APPL NO.	FILING OR 371 (a) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/501,159	07/13/2004	2655	920	SON-2599	23	20	2

CONFIRMATION NO. 5399

Ronald P Kananen
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 Washington, DC 20036

FILING RECEIPT



Date Mailed: 12/27/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

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Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/01770 02/19/2003

Foreign Applications

JAPAN 2002-41714 02/19/2002

Projected Publication Date: 03/31/2005

Non-Publication Request: No

Early Publication Request: No

Title

apparatus
Optical head device, and optical recording/reproducing device *apparatus*

Preliminary Class

369

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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(12/8077)

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PTO/SB/01 (3-97)

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION		Attorney Docket No.	
		First Named Inventor	
COMPLETE IF KNOWN			
<input type="checkbox"/> Declaration submitted with or initial filing <input type="checkbox"/> Declaration submitted after initial filing		Application No.	
		Filing Date	
		Group Art Unit	
		Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (only if one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

OPTICAL HEAD APPARATUS AND OPTICAL RECORDING/REPRODUCING APPARATUS

(Title of the Invention)

the specification of which

☐ is attached hereto or

☐ was filed on 02/19/03 as United States Application Number or PCT International Application Number: PCT/JP03/ and was amended on 01770 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YY)	Priority Not Claimed	Certified Copy Attached	
2002-041714	Japan	02/19/02		YES	NO
PCT/JP03/01770	PCT	02/19/03		<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number (s)	Filing Date (MM/DD/YY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

Name of Third Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Kenji				YAMAMOTO			
Inventor's Signature		<i>Kenji Yamamoto</i>				Dated <i>June 28, 2004</i>	
Residence: City	Kanagawa	State		Country	Japan	Citizenship	Japan
Post Office Address							
c/o SONY CORPORATION, 7-35, KITASHINAGAWA 6-CHOME, SHINAGAWA-KU							
City	Tokyo	State		Zip	141-0001	Country	Japan
Name of Fourth Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Masahiro				YAMADA			
Inventor's Signature		<i>Masahiro Yamada</i>				Dated <i>June 18, 2004</i>	
Residence: City	Kanagawa	State		Country	Japan	Citizenship	Japan
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c/o SONY CORPORATION, 7-35, KITASHINAGAWA 6-CHOME, SHINAGAWA-KU							
City	Tokyo	State		Zip	141-0001	Country	Japan
Name of Fifth Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Tadashi				TANIGUCHI			
Inventor's Signature		<i>Tadashi Taniguchi</i>				Dated <i>June 28, 2004</i>	
Residence: City	Kanagawa	State		Country	Japan	Citizenship	Japan
Post Office Address							
c/o SONY CORPORATION, 7-35, KITASHINAGAWA 6-CHOME, SHINAGAWA-KU							
City	Tokyo	State		Zip	141-0001	Country	Japan
Name of Sixth Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Dated	
Residence: City		State		Country		Citizenship	
Post Office Address							
City	Shinagawa-Ku	State		Zip		Country	
Name of Seventh Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Dated	
Residence: City		State		Country		Citizenship	
Post Office Address							
City		State		Zip		Country	
Name of Eighth Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
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Inventor's Signature						Dated	
Residence: City		State		Country		Citizenship	
Post Office Address							
City		State		Zip		Country	

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PTO/SB/01 (3-97)

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DECLARATION - Utility Or Design Patent Application

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the matter provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☒ Customer Number

or

☐ Registered practitioner(s) name/registration number listed below

23353

Name	Registration Number	Name	Registration Number
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H. Lawrence Smith	24,900	Richard D. Grauer	22,388
Ralph T. Rader	28,772	Michael D. Fishman	31,951
Joseph V. Coppola, Sr.	33,373	Mark A. Davis	37,118
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Alexander D. Rabinovich	37,425	Kristin L. Murphy	41,212
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Glenn B. Forbis	40,610	Christopher M. Tanner	41,518
Ronald P. Kananen	24,104	Robert S. Green	41,800
Matthew J. Russo	41,282	Brian K. Dutton	47,255
G. Thomas Williams	42,228		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.Direct all correspondence to ☒ Customer Number 23353 or ☐ Correspondence Address below

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of First Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Tetsu		WATANABE	
Inventor's Signature	<i>Tetsu Watanabe</i>		Dated June 18, 2004
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Name of Second Inventor		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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Takakiyo		KANAZAWA	
Inventor's Signature	<i>Takakiyo Kanazawa</i>		Dated June 28, 2004
Residence: City	Kanagawa	State	Country Japan Citizenship Japan
Post Office Address	c/o SONY CORPORATION, 7-35, KITASHINAGAWA 6-CHOME, SHINAGAWA-KU		
City	TOKYO	State	Zip 141-0001 Country Japan